

Catholic Bishops' Study

RESEARCH PARTICIPATION STATEMENT

To be retained by the study participant.

This letter explains the purpose of the work you have been asked to do for the Catholic Bishops' Study, the paramount importance we give to maintaining the confidentiality of those persons you will read about in the church or diocesan files, and your right to receive counselling if, as a result of this work, you become distressed or uncomfortable. If you wish to discontinue the work, you have the right to withdraw from the project and another person will be chosen to complete the work.

Purpose

The Charter for the Protection of Children and Young People, issued by the Conference of Catholic Bishops in June of 2002, calls for the promotion of healing and reconciliation within the Catholic Church in the United States, sets out a basis for an effective response to future allegations of abuse and establishes procedures for accountability for church leaders. A significant part of this last commitment is Article 9, which reads:

The work of the Office for Child and Youth Protection will be assisted and monitored by a Review Board, including priests, appointed by the Conference President and reporting directly to him. The Board will approve the annual report of the implementation of this Charter in each of our dioceses/eparchies, as well as any recommendations that emerge from this review, before the report is submitted to the President of the Conference and published. To understand the problem more fully and to enhance the effectiveness of our future response, the National Review Board will commission a comprehensive study of the causes and context of the current crisis. The Board will also commission a descriptive study, with the full cooperation of our diocese/eparchies, of the nature and scope of the problem within the Catholic Church in the United States, including such data as statistics on perpetrators and victims.

This project is the descriptive study, and its importance to the Catholic Church and to the large research community cannot be overstated.

Confidentiality

All information that you report will remain completely confidential. It is of paramount importance that you not make any notation of any name or other personal information on the survey instruments as you complete them, or make any mention to anyone, of any person whose name you read in a file while doing this work.

Specifically, you are asked to take particular care to ensure that:

- Any and all notes or scratch paper that you use to complete the work be destroyed at the end of each day.

- That you refrain from any discussion of the work with any person outside of the church or anyone who does not already have access to the files of alleged abusers
- That if you call the researchers for assistance you prepare to ask your questions of them without using any names or other personal information.
- That no names are included in any material sent to the Review Board
- That no identifying information that could link an individual to the information on a survey instrument be included when the material is sent to the Review Board
- That completed surveys be placed first in one envelope with no external markings, then in another envelope for mailing to the Review Board
- That you will not discuss what the work you have done on this research study either during or after its completion

Strict adherence to the principles of confidentiality will help ensure the quality of the results.

Counselling

There is a possibility that the materials you will be reviewing in the files will give rise to feelings of unhappiness, distress, embarrassment or worry. Should you find that this work becomes difficult to do or makes you sad or angry, and you wish to talk to a professional who is trained as a counsellor, you are asked to speak to the head bishop of your diocese immediately. He will remove you from this project and refer you to a counsellor to speak to about your feelings of distress.

If you ask that the responsibility for this work be given to another person, your request will be honoured.

If you understand and agree to all of the above information, please sign and date this form.

Name (written) _____

Signature _____

Date _____

Catholic Bishops' Study

AFFIRMATION

I have read the Research Participation Statement and understand each of its sections. I confirm that I understand both the purpose of and the procedures for this work

I am committed to the principles of confidentiality and the protection of human subjects and will adhere to both the spirit and the letter of what I have been asked to do to protect the privacy of the persons whose information is included in the files.

I affirm that I will seek assistance should I come to feel distressed by this work.

Print Name: _____

Signature: _____

Date: _____



JOHN JAY COLLEGE OF CRIMINAL JUSTICE

The City University of New York
899 Tenth Avenue
New York, NY 10019
(212) 237-8000

INSTRUCTIONAL LETTER TO ALL DIOCESES, EPARCHIES AND ORDERS

The study that you are about to participate in was initiated and is being sponsored by the National Review Board of the United States Conference of Catholic Bishops. The National Review Board has asked John Jay College of Criminal Justice to conduct a comprehensive study of the prevalence and incidence of alleged sexual abuse of minors (under the age of 18) by Catholic clerics between 1950 and 2002.

The Catholic Church, through the Charter for the Protection of Children and Young People, has set out guidelines for the response to a report of sexual abuse by priests and deacons. Article 9 of this document asks all dioceses/eparchies to cooperate fully with this comprehensive study. It states:

To understand the problem [of child sexual abuse by the clergy] more fully and to enhance the effectiveness of our future response, the National Review Board will commission a comprehensive study of the causes and context of the current crisis. The Board will also commission a descriptive study, with the full cooperation of our dioceses/eparchies, of the nature and scope of the problem within the Catholic Church in the United States, including such data as statistics on perpetrators and victims.

This is the first part of a larger study on this issue and is the descriptive study mentioned in Article 9. In order for this to be a comprehensive study, we ask that you provide information regarding *all* allegations of abuse against a member of the clergy, not simply those that resulted in a criminal or civil action. This also includes information about members of the clergy who have retired, passed away, or have been transferred to other dioceses or orders.

Confidentiality

All data collecting is confidential. No one who is involved in the study will know which diocese the information pertains to, and we will not be able to identify the alleged abuser and/or victim. Each cleric will be identified only by his first and last initial and birth date. That information will immediately be coded into an anonymous mathematical identification number and then the

original form carrying this data will be destroyed. After this has been accomplished, the research team will receive the questionnaires for data entry. We want to emphasize how important it is to complete information on every case where there is an allegation. Further, we emphasize that you do not write in the name of any cleric and/or victim. To do otherwise will cast doubt upon the authenticity of future actions based upon this Article 9 study.

Forms

Enclosed in this packet is a survey instrument and written instructions on how to fill it out. We have also sent a floppy disk with a copy of the survey instrument in case you need to print out extra copies of the survey. The documents are formatted in Word.

Everyone who is going to be filling out the surveys must first watch the instructional video and then fill out the enclosed confidentiality agreement, which should be sent immediately to the independent auditor. Once you have signed the confidentiality agreement and sent it to the auditor, whose address is listed at the bottom of this page, you can begin to fill out the survey.

There are three forms included in this survey packet, separated into two sections. The first section is a one-page profile of your diocese, eparchy, or order. This is the first form that you need to fill out. Do not identify the diocese, eparchy, or order by name. Please make sure that when you fill this out, you do so accurately. It is especially important that you fill in the number of clerics with allegations against them and the number of victims accurately. As soon as you complete this form, please send it immediately to the independent auditor listed at the bottom of this page. We ask that you postmark the diocesan/eparchan/order profile NO LATER THAN APRIL 17th.

The next section contains two survey forms, one for clerics and one for their alleged victims. These forms are due NO LATER THAN MAY 12th. You will need to fill out a survey form for every cleric with an allegation of abuse against him and every one of the reported victims associated with the specific cleric. Before you begin to fill out these forms, you must photocopy or print out extra copies of the cleric survey so that you have enough survey forms for each cleric who has had allegations of sexual abuse brought against him. Further, you must photocopy the victim surveys so that you have enough survey forms to fill out for each alleged victim associated with the abusive cleric. For example, if your diocese has 25 clerics with allegations of sexual abuse against a total of 50 victims, you will make 25 copies of the cleric survey instrument and 50 copies of the victim survey instrument. Number the cleric surveys 1-25, and write that number in the upper right-hand corner of the instrument. If cleric Number 1 has three victims, you must write #1 on three of the victim surveys in the upper right hand corner of three victim surveys. When you complete the surveys, you must clip together cleric #1's survey instrument with his three victims' surveys. This is important so that we can link the clerics with their alleged victims

It is also important to be as specific as possible. If it is necessary, please write in comments about any of the questions on the survey that adds to the fullness of your response. If you are not sure about an answer or if you must approximate an answer, please indicate that this is the case.

Send Forms Only to the Independent Auditor

You must send three packets of information to the independent auditor. The independent auditor's address is:

**Roger C. Viadero, CPA, CGFM
Ernst & Young, LLP
1225 Connecticut Avenue, NW
Washington, DC 20036**

- (1) You must sign and mail the CONFIDENTIALITY AGREEMENTS. Send those to the auditor in a regular envelope. The auditor will keep those agreements on file;
- (2) Mail the DIOCESAN profile. YOU MUST PUT THIS IN A DOUBLE ENVELOPE AND SEND IT REGISTERED MAIL BY APRIL 17TH. Once the independent auditors receive the envelope, they will remove the outer envelope and transmit the inner envelope to the researchers. This way, we will not be able to see which diocese the information has been sent from.
- (3) Mail the COMPLETED CLERIC and VICTIM(s) surveys. YOU MUST PUT THESE IN A DOUBLE ENVELOPE AND SEND IT REGISTERED MAIL BY MAY 12TH. The same format will follow for these as with the diocesan profile.

Questions

If you have any questions about the study or how to fill out the survey instrument, please call us at the John Jay College Bishops' Study hotline at (212) 237-8539. If you call, please take care not to identify yourself or your diocese to the member of the research team who answers the phone.



JOHN JAY COLLEGE OF CRIMINAL JUSTICE

The City University of New York
899 Tenth Avenue
New York, NY 10019
(212) 237-8000

July 15, 2003

Re: Study of Child Sexual Abuse in the Catholic Church

To all Bishops/Respondents to the Child Sex Abuse Study:

The John Jay College Research Team has constructed a website for those individuals filling out the survey forms for the study on child sexual abuse in the Catholic Church. The website contains pages of Frequently Asked Questions for each of the survey forms. Several precautions have been taken to ensure that this site is secure, and is not accessible through a search engine.

The enclosed pages of Frequently Asked Questions address issues raised about the survey forms to date. We will update the website daily to incorporate new questions, and we encourage you to refer to it regularly as you continue to complete the survey forms. If you have a question that has not yet been addressed, please email us at churchstudy@jjay.cuny.edu or call us between 10:00am and 6:00pm EST at (212) 237-8539, Monday through Friday. If you need to make corrections to a form that you have already completed and sent in, please do so by following the original mailing procedure. You can put the modified information in a blank envelope, enclose that in a larger envelope and mail that information to Mr. Roger Viadero at Ernst & Young, LLP, 1125 Connecticut Avenue, N.W., Washington, DC 20036.

In order to access the website, type in the URL:

<http://johnjay.jjay.cuny.edu/churchstudy>

This will take you to the LOGIN page. Each Bishop will receive the LOGIN and PASSWORD codes in Bishop Gregory's letter on Friday, July 18, 2003. This confidential information should be provided to the individuals filling out the survey forms. When you type in the LOGIN information, you will be re-directed to the secure site.

We strongly encourage you to check the website, email or call the hotline if you have any questions about the survey forms, and we thank you for your continued patience and cooperation with this study.

With respect,

John Jay College research group
Dr Karen Terry
Project Director

Frequently Asked Questions

about the Study being done for the Conference of Catholic Bishops

For all of us who work on this study, the fidelity of the data is the guiding value. If the work to gather and record the requested information requires more time than the suggested deadlines permit, please write a letter to the independent auditor and provide a anticipated completion date. Absent extraordinary circumstances, please try to complete the work by the end of August.

About Confidentiality

The primary guarantee of confidentiality of data for this study is the "wall" that has been created between the Independent Auditor and the John Jay College researchers. The Auditor identifies each diocese or religious institute, records the receipt of materials, and assigns an anonymous code number, but never sees the survey data. The John Jay College researchers receive the sealed packages of survey data, but do not know the identity of the sender.

ROLE OF THE INDEPENDENT AUDITOR

Roger Viadero, of Ernst & Young, LPP, receives all data that is to be part of the Study. He will remove the external mailing envelope and mark the inside envelope (that should be blank) with a numerical code. This code number - the **Dio code** - will allow researchers to count and distinguish the responses. He keeps a record of the sending diocese or religious institute and the code that has been assigned, but that information will not be disclosed to any other party. If a diocese or religious institute sends in corrected surveys, the auditor will assign that the same code as the first mailing before sending the information to John Jay College. The auditor will not open any inside envelope and will therefore have no knowledge of information sent by any diocese or religious institute. If the research group needs to contact an individual diocese or religious institutes, the sealed communication will be sent to Mr. Viadero, marked only with the code number, and he will forward it to the appropriate party. The record linking the dioceses or religious institutes to the assigned code numbers will be destroyed when the data collection process is complete.

SPACES FOR "CODES" ON THE FORMS

In order to summarize the information, researchers need to be able to have distinct identifying numbers for the dioceses, religious institutes and their respective clerics. The **Dio code** will link the overall summary data on the Diocesan and Religious Order Profile to the individual Cleric and Victim Surveys. The **Ab code** will link data on the same cleric sent by different institutions. The **Vic code** will allow summaries of allegations linked to an individual cleric. The data used to create the Ab code will be viewed only by Dr. Karen Terry, will be encrypted and held under high security circumstances, and will be destroyed when data collection is complete. All information regarding alleged victims is anonymous.

SECURITY OF DATA

Data for the Study, which is anonymous by the time it is seen by members of the John Jay College research group, is kept in secure circumstances in the Study office. All work on the data is done on secure personal computers in that office and no person who is not a member of the research group will enter the Study office.

CERTIFICATE OF CONFIDENTIALITY

The United States Department of Health and Human Services offers a comprehensive form of protection of the confidentiality of research information about human subjects that is called a Certificate of Confidentiality. When a Certificate is issued covering a research study, no one who has been associated with the study can be asked to provide information based on their work or knowledge of the study in any legal proceeding for one year. The John Jay College research team has applied for a Certificate. If they receive this Certificate of Confidentiality, all members of the research team and the Independent Auditor will be covered. Though the Certificate would be a substantial protection for the confidentiality of the data in the study, the research team has put in place significant other precautions to ensure confidentiality.

About the Diocesan Profile (Form 1)

Split dioceses: If a diocese was split into two, the diocese that should include the allegation is the one where the abuse occurred.

Priests who move: If allegations have been lodged against an incardinated priest in one diocese who later relocates and is incardinated in a new diocese, the diocese that should count the allegation is the one in which the abuse occurred.

Q2 generally: If the person completing the survey is having trouble counting the total number of priests (1950 – present), please estimate and note that the figure given is an estimate.

Q2: Should we include in our count priests who are deceased?
YES

Q2: How do we answer part B in question 2?
Priests who are members of religious orders are not incardinated or extern – a simple total for these will be fine. We apologize for the confusion with this question.

Q2: Do we only count those priests assigned to the diocese?
You need to count priests who are incardinated in the diocese and those who are externs.

Q2: Should seminarians be included?
YES, but only those who are later ordained (make a notation as such)

Q2: If a diocese includes:

(a) a Monastery → should they count only those in "active" duty or those in the monastery as well?

Include all who practiced their ministry in the diocese.

(b) a seminary → there is no place for this information; and should all those that attend the seminary be included or just those sponsored by the diocese?

Include all who practiced their ministry in the diocese, and note that they are seminarians.

Q2: What does "extern" mean?

A priest with temporary permanent status, i.e., a vacationing priest or a diocesan priest working in a diocese other than his own.

Q6: How do you prevent doublecounting? Each diocese and religious institute should be submitting a Cleric Survey (Form 2) for each cleric counted in Question 6, less those included in Question 10, and a Victim Survey (Form 3) for each accuser. The initials, birth date, and year of ordination should prevent the same event involving a specific cleric from being counted twice. When duplicates are discovered, the counts will be adjusted so that a single event appears only once in the data file.

Q6: There is an allegation against a cleric that has not been found to be substantiated, but the victim has not withdrawn the allegation nor is the allegation completely implausible. Do we include this allegation in our count for Questions 6 and 9?

YES. The specifics of the alleged event and the results of the investigation will be reported in detail in the Cleric and Victim Surveys. There is space at the end of the Cleric Survey to explain anything you wish to add to the formal survey.

Q6: Should we include in our count a deceased priest against whom an allegation was made?

YES

Q6: Should anonymous allegations be counted?

YES

Q6: If someone had an allegation against him before becoming a priest (i.e., while a lay person), should it be included in the count?

YES. But make a notation as such.

Q6: Should allegations of sexual misconduct with adults be counted?

NO, not in the Diocesan Profile.

But if the priest has an abuse allegation or event with a minor child, the problem with the adult should be reported in Questions 13, 14 or 22 on the Cleric Survey.

Q6: If a priest came to a diocese (Diocese A) with no indication of prior problems, but an allegation was made during his tenure there about sexual abuse in the prior diocese (Diocese B), should it be reported by Diocese A?

NO, it should be reported by Diocese B, where it occurred.

Q7: What does exonerated means?

Exonerated is a legal term that means that the cleric was completely cleared of the charges in a criminal court. It is not likely that many priests will have been exonerated in a criminal court.

Q9: Should money from insurance companies be included in the figure we report?

Yes. Please include all monies given to the victim in all forms (i.e., insurance payments, legal fees, therapy fees for victims, direct compensation, etc.); and, if on a payment plan, include the whole amount committed to.

Q10: Is it necessary to fill out a survey for the cleric if the allegations have been withdrawn?

No, this is not necessary.

About the Cleric Survey (Form 2)

About Page 2: If a priest goes by more than one name, include all sets of initials on Form 2, Cleric Survey, on the second page.

No birth date: If a priest has no birth date listed, please include his ordination date and/or an age indicator (for example, a note that the file shows that he was 30 in 1986).

Name changes: If the file shows that the priest has changed his name and the original name is not available, include ordination date and age at ordination on the first sheet of the Cleric Survey.

Generally: Should information be included if it is not directly related to allegations of sexual abuse?

Yes, include any information about drug and alcohol abuse or medical or psychological problems that may or may not be directly related to the alleged abuse. This information can be described in questions 14 and 35.

Q18: What is included in this count?

Any diocese which the cleric ministered.

Q21: This poorly-constructed question can be skipped.

Q23: Does this only include allegations made specifically by victims, or can it include any number of victims, even if the alleged victim did not allege the abuse (e.g., self-revealed by cleric, family member, et cetera)?

Please include total number of all alleged victims that are on record, regardless of how the information was obtained. The specifics will be recorded in the Victim Survey.

Q25: If you have a report or notation in a file that a cleric abused children other than the individual who made the allegation, please write in the number, if it is known, or give an indication of the number, i.e., "a few."

Q26: How should question 26 be reported?

Please indicate the number of total alleged victims for each age range on the first line. Then specify the number of male and female alleged victims on the adjacent lines.

Q32: What information should be reported for question 32?

Please indicate the number of times the cleric has participated in a treatment program (e.g., 2 times) as opposed to the length of time (e.g., six months).

About the Victim Survey (Form 3)

Generally: Include information on victims even if the allegations have been found to be "inconclusive."

Generally: If the victim of abuse is biologically an adult, but mentally under the age of 18, should the allegation be included?

NOT unless the abuse started when the individual was under the age of 18.

Q3: Explain what "reported" means?

The date requested here is that of the first occasion the allegation was made known *to the Church*.

Q15: How is this number calculated?

It is the difference between the time the abuse first occurred and when the allegation first became known to the Church. Indicate whether you are recording the number of years or months.

Q37: Is this question only applicable if it was the alleged victim who reported the abuse allegation to the District Attorney or police?

No. Please answer this question regardless of how the information became known.

Q49/51: What should be included in this figure?

Any amount that your insurance had to pay to cover the cost of expenses relating to any sexual abuse. This includes deductibles and self-insurance funds.

Q52/54: Does this figure only include litigation fees?

No. It includes all legal fees.

Q52/54: What if the figure we have includes multiple victims?

Include the total figure on each individual Victim Survey and make a note that it includes multiple victims, and the number victims covered.

Q52: What kind of treatment do you mean (i.e., just for sexual abuse or should it include the

fees for other types of treatment such as drug/alcohol abuse and health related issues)? Include the costs of treatment for any type of sexual behavior. Please note in the margin or at the bottom of the page if the treatment followed allegations from multiple victims.

WRITTEN INSTRUCTIONS

(PLEASE READ CAREFULLY AS YOU COMPLETE THE SURVEY FORMS)

Catholic Bishop's Survey
Survey Instructions

SECTION 1: DIOCESAN PROFILE (Page 1). PLEASE COMPLETE THIS SECTION AND SEND IT TO THE INDEPENDENT AUDITOR NO LATER THAN APRIL 17TH.

The independent auditor is:

Roger C. Viadero, CPA, CGFM

Ernst & Young, LLP

1225 Connecticut Ave, N.W.

Washington, D.C. 20036

1. Institution type. Please note that for purposes of clarity and flow, when the term diocese is used in a question, it will be understood to refer to both diocesan and religious orders, societies and communities.
2. Number of active and retired clerics by institution type. Make sure to include all clerics from 1950-2002, to the best of your knowledge.
3. Check the box that most closely describes the number of Catholics in your diocese at this time.
4. Check the box that most closely describes the number of parishes that Number of parishes.
5. Region code: I to XIV. You can find the regional code in the Catholic directory.
6. Write in the TOTAL number of incardinated and extern clerics with allegations of abuse in your diocese. Make sure to include all clerics with allegations from 1950-2002.
7. Write in the number of clerics who have had allegations made against them but were completely exonerated. Exonerated means that the cleric was completely cleared of the charge.
8. Write in the TOTAL number of individuals who made allegations of sexual abuse against them as children in your diocese between 1950 and 2002. This includes all false allegations and allegations where the victim later withdrew the allegation.
9. Write in the TOTAL amount of monies paid to victims between the years 1950 and 2002. You may need the assistance of your financial/budget officer to answer this question.
10. Explain how many of the alleged victims in Question #8 made false allegations or later withdrew their allegations. The reports made by these victims will not be counted in the remainder of the survey.

Though we ask that you return this immediately, please ensure that the information you provide is correct. If you realize after you send us this information that something is not correct, please print out another diocesan profile form from the disk and re-send the correct information.

Catholic Bishop's Survey
Survey Instructions

SECTION 2: CLERIC AND VICTIM SURVEYS. PLEASE COMPLETE THESE SURVEYS AND SEND THEM TO THE INDEPENDENT AUDITOR NO LATER THAN MAY 12.

The independent auditor is:

Roger C. Viadero, CPA, CGFM
Ernst & Young, LLP
1225 Connecticut Ave, N.W.
Washington, D.C. 20036

Before you begin to fill in this survey, please make photocopies of the survey instrument. You must make enough for each cleric with allegations of abuse against him. Once you do this, please number each of the surveys from 1 to the total number of clerics with allegations, and write that number in the upper right hand corner of the cleric survey form. You must write this number on the cleric encryption page and the four pages of the survey. The purpose of this is to link each cleric with his victim(s). You will write this same number on his victim(s)' survey forms.

Cleric Encryption. The first and last initial and birth date are converted to a unique code to provide anonymity. Please make sure that this information has been provided so that the transformation can be applied correctly.

Cleric Survey.

1. Write in the cleric's year of birth.
2. Type of cleric at time of the offense. If the cleric committed numerous offenses over a period of time, and he fulfilled multiple roles during the time he abused, please check all that apply.
3. Year ordained, if applicable.
4. Write in the name/location of the seminary that the cleric attended.
5. If a married cleric, was cleric married at the time of the alleged offense. If the cleric has multiple allegations, check yes if he was married at the time of any of these alleged offenses.
6. Any event of any types of abuse anytime in cleric's life history including the types listed in Question 7.
7. Identify all the types of abuse that the cleric is known to have experienced or is indicated in the record.
8. Identify the most likely abusing actors of the abuses identified in Question 7.
9. Identify if the cleric has abused alcohol or drugs at any time in life history.
10. Identify the action taken as a response to the drug or alcohol abuse.
11. If there was treatment for the drug or alcohol abuse, where did this treatment take place?
12. If the cleric acknowledged, during the drug or alcohol treatment program, that he abused a minor, check yes.
13. Did the cleric have any medical or psychological problems that could lead the diocese to believe he may not be fit for ministry?
14. Describe this problem. Be as specific as possible.
15. Was the problem(s) in Question 14 identified prior to the allegations of abuse?
16. What was the date this problem became known?
17. For multiple problems, identify the dates that each problem became known. If there are more than three known problems, please check the box provided and continue to identify the problems and dates on the back of the page.
18. Number of dioceses this cleric has served in, including yours.
19. Number of parishes or congregations this cleric has served in within your dioceses.
20. Does the cleric have any known allegations of abuse in any of the dioceses other than yours listed in Question 18?
21. You need to write in the total number of allegations against this cleric in all dioceses (including yours), all parishes w [HELP]
22. Identify any problems noted in this cleric's file other than sexual or substance abuse problems.

Information on the cleric's victim(s)

23. The total number of victims who have made allegations against this cleric in your diocese. You must fill out a victim form for each of the alleged victims in the cleric's file.

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24. Does your diocese have reason to believe that there are more victims than those listed official in the cleric's file? For instance, a known victim might have reported that he/she was abused as the same time as another minor, but the other minor did not come forward and make an allegation.
25. Number of other potential victims. You do not need to fill out a victim survey for the alleged victims in this question.
26. Breakdown of ages of alleged victims. Only fill this in for the victims who have made allegations, not the potential victims listed in Question 25. If the exact age of a victim cannot be determined then approximate the age based upon the information available.

Responses to Allegations Made Against Cleric

27. Identify all the specific actions taken with this cleric in response to all the abuse allegations associated with this cleric.
28. If reinstated, identify where.
29. Identify years in which action(s) was taken. If multiple actions were taken, identify all years in which those actions were taken.
30. Identify all the specific treatment strategies and protocols attempted with this cleric. Questions 31-34 address the particulars of treatment. Clerics not assigned to any treatment will have no data in Questions 31-34.
31. Identify the specific facility where the cleric participated in treatment. If the treatment center is not listed, check other and write in the proximate location of the treatment site.
32. The number of different enrollments or discrete participations in sex abuse / offender treatment programs designed or intending to achieve this type of remediation is sought.
33. Did the cleric complete the programs? If the cleric participated in more than one and completion is mixed, please characterize the completions of the treatment programs.
34. Did the cleric have allegations made against him after participating in a treatment program?
35. After you have reviewed the record of this cleric there may be facts, entries or comments that you will have found that provide added understanding concerning the cleric and responses made to the allegation of sexual abuse. Please share your insights into your reading of the record.

REMEMBER, DO NOT WRITE IN THE NAMES OF ANY CLERICS OR VICTIMS OF ABUSE!!

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Survey Instructions

Victim Survey. This must be completed for each victim of each cleric. Before you begin, photocopy the victim survey so that you have enough for all victims. If you need additional copies, you can print them out from the floppy disk. Remember to write the number of the cleric who allegedly abused this victim in the upper right hand corner of the survey instrument. Once you are finished, staple or clip together the associated cleric and victim survey instruments.

1. Gender as indicated.
2. Date alleged abuse of this victim *occurred* by this cleric. If the abuse occurred over a period of time, list the range of dates the abuse occurred. If there is no specific date(s) known, approximate the date or range of dates to the best of your knowledge based upon the files.
3. Date abuse was *reported*.
4. Person who made the allegation in Question 3.
5. How was the allegation made in Question 3.
6. Were any follow-ups made by the victim or anyone acting for the victim?
7. Number of follow-ups. Explain the method of follow up (letter, telephone, conversations).
8. Number of times this victim was abused by this cleric. If you do not know the specific number, check numerous.
9. Did other clerics allegedly abuse this victim?
Please note the clerics identified here will have data from their files developed for this victim.
10. Total number of clerics who allegedly abused this victim.
11. If other clerics abused this victim, explain the sequential position of this cleric's alleged abuse, relative to other clerics allegedly involved with this victim.
12. Victim's age at the time of, or beginning of, the alleged abuse.
13. Victim's age when the abuse ended, if it occurred over a period of time.
14. Age of victim at the time the first complaint or allegation was first expressed in Question 3.
15. Elapsed time between when the allegation was initially made in Question 3 and victim's age at the time of the first reported abuse in Question 14. Specify months or years.
16. Situation where cleric and victim first encountered each other. If the record is not descriptive, then identify the earliest encounter available in the record.
17. Cleric's duty or role when encountering the victim initially.
18. Did the cleric have social contact of any kind with the victim's family?
19. Type of socialization had with victim's family. Enter all the types of interactions with any of the victim's family members.
20. Identify ALL types of abuse that allegedly occurred against this victim.
21. Did cleric make any overt or implied threats directed at the victim or family members or did the victim or family members perceive that a threat was made by the cleric?
22. Nature of all threats alleged by cleric directed at victim or family members.
23. Where the alleged abuse(s) occurred.
24. When the alleged abuse(s) occurred.
25. Did the victim or family receive or have offered any type of gift, inducement, favor, benefit that had a relationship to the abuse or in response to the allegation?
26. What gift(s) was offered?
27. Were any other enticements offered to encourage the victim to participate in the abuse?
28. What were the enticements?
29. With whom did the victim live at the time of the abuse or earliest abuse in the record?
30. Did this victim have any siblings who were also allegedly abused by this cleric?
31. Was the *victim* under the influence of drugs or alcohol at any time in the record's history?
32. Was the *cleric* under the influence of drugs or alcohol at any time in the record's history with this particular victim?
33. At any time in the association between this cleric and this victim, was there a diocesan inquiry, investigation or fact finding related to the abuse or first abuse allegation?
34. What was the result of that inquiry?
35. As a result of that inquiry, investigation or fact finding what actions were taken against the cleric? If multiple actions were taken singly or together enumerate that range of actions applied against this cleric in response to this victim's allegation(s).
36. Did the Church report its findings to the victim or victim's family?

Catholic Bishop's Survey
Survey Instructions

37. Any type of complaint reported to police or governmental representative involving this cleric and this victim?
38. Was there a criminal investigation?
39. Identify any criminal charge(s) brought against this cleric as a result of the victim's allegations.
40. Specific charges brought involving this cleric and this victim. If more than one charge brought, enter all those brought.
41. Any criminal convictions, including plea agreements associated with any charges in Question 40.
42. Specific the offense for which the cleric was convicted.
43. Sentence or penalty imposed as a result of the criminal conviction(s).
44. Sentence term imposed as a result of any criminal convictions.
45. Civil complaint or action reported to police, civil authority or governmental representative or institution?
46. Other legal actions, including secular administrative remedies sought related to this cleric and this victim.

Financial information

47. Compensation (monetary or things of value in any form) made directly or indirectly to the victim, victim's family, representative, etc.
48. Total value expended for victim's compensation from all sources.
49. Identify the amount of money in Question 48 that was derived from insurance.
50. Total value expended for victim's treatment.
51. Identify the amount of money in Question 50 that was derived from insurance.
52. Total value expended for the treatment of the cleric.
53. Identify the amount of money in Question 52 that was derived from insurance.
54. Identify the total amount of monies paid to the attorneys in regard to this victim.

REMEMBER, DO NOT WRITE IN THE NAMES OF ANY CLERICS OR VICTIMS OF ABUSE!!

**VICTIM SURVEY:
CONFIDENTIAL**

Prepare a separate profile for each victim who issued an allegation against this particular cleric. If a cleric had multiple allegations, prepare a separate victim profile for each victim who initiated an allegation. Please answer each of these questions to the best of your knowledge and indicate when an answer is approximate rather than specific. Remember to write the matching cleric's number in the upper right hand corner.

WE ARE NOW GOING TO ASK YOU TO PROVIDE SOME BASIC INFORMATION ABOUT EACH VICTIM WHO BROUGHT AN ALLEGATION AGAINST THIS PARTICULAR CLERIC.

1. Gender of victim (check one)
 - Male Female

2. When did the alleged abuse occur? (Be as specific about the date or range of dates as possible)

Month ___ Day ___ Year _____ OR range of dates _____

3. When was this abuse reported? (Be as specific as possible)

Month ___ Day ___ Year _____

4. Who initially made the allegation?

<ul style="list-style-type: none"> <input type="checkbox"/> The victim <input type="checkbox"/> A teacher <input type="checkbox"/> A cleric <input type="checkbox"/> Other (specify) _____ 	<ul style="list-style-type: none"> <input type="checkbox"/> The victim's parent(s) or guardian(s) <input type="checkbox"/> A police officer <input type="checkbox"/> A lay person in the Church <input type="checkbox"/> A doctor <input type="checkbox"/> Victim's attorney
--	---

5. How was the allegation initially made?

<ul style="list-style-type: none"> <input type="checkbox"/> Called the parish <input type="checkbox"/> Sent a signed letter to the parish <input type="checkbox"/> Sent an anonymous letter to parish <input type="checkbox"/> Went to the parish <input type="checkbox"/> Told trusted priest/deacon who brought complaint to diocese or order <input type="checkbox"/> Information obtained through the media <input type="checkbox"/> Other (specify): _____ 	<ul style="list-style-type: none"> <input type="checkbox"/> Called the diocese <input type="checkbox"/> Sent a signed letter to the diocese <input type="checkbox"/> Sent an anonymous letter to diocese <input type="checkbox"/> Went to the diocese <input type="checkbox"/> Called the Provincial or Major Superior of the order or congregation <input type="checkbox"/> Sent signed letter to the Provincial or Major Superior of the order or congregation <input type="checkbox"/> Sent anonymous letter to the Provincial or Major Superior of the order or congregation
--	---

6. Is there a record of the victim or victim's family following up on the allegation?

No Yes (specify method of follow up): _____

7. If the victim/victim's family did follow up, how many times? _____

8. How many times was the victim allegedly abused?

Once More than once (number _____) numerous (unknown number)

9. Did the victim allege abuse by more than one cleric? (If no, skip to question 12)

Yes No Information not in the file

10. If yes, how many clerics allegedly abused this victim? _____

11. Did the abuse by the other cleric(s) occur before, concurrent with or after this cleric? (check all that apply)

Before Concurrent with After

12. Age (in years) when alleged abuse began (or occurred, if only happened once). _____ years

13. Age (in years) when abuse ended (if occurred more than once). _____ years

14. Age (in years) when victim first told someone about the abuse. _____ years

15. Number of months/years the victim waited to report the abuse. _____

16. Where did the victim first meet the cleric?

- | | |
|---|--|
| <input type="checkbox"/> Mass | <input type="checkbox"/> Choir |
| <input type="checkbox"/> Boys club/youth recreation | <input type="checkbox"/> Sunday school teacher |
| <input type="checkbox"/> Teacher in preschool, kindergarten, or elementary school (up to grade 6) | <input type="checkbox"/> At an altar service |
| <input type="checkbox"/> Teacher in middle school (grades 7-8) | <input type="checkbox"/> In the rectory |
| <input type="checkbox"/> Teacher in high school (grades 9-12) | <input type="checkbox"/> Work in a hospital |
| <input type="checkbox"/> Orphanage | <input type="checkbox"/> Seminary faculty |
| <input type="checkbox"/> Home of victim | <input type="checkbox"/> In jail/prison/youth offender residence |
| <input type="checkbox"/> At a vocational inquiry | <input type="checkbox"/> Home of cleric |
| <input type="checkbox"/> At a social function w/ victim's family | <input type="checkbox"/> Seminary administrator |
| <input type="checkbox"/> Other (specify) _____ | <input type="checkbox"/> While assigned to the victim's parish (e.g., as an extern priest) |

17. What was the cleric's primary duty when he met the victim? (check all that apply)

- | | |
|---|--|
| <input type="checkbox"/> Pastor | <input type="checkbox"/> Associate pastor |
| <input type="checkbox"/> Resident priest | <input type="checkbox"/> Seminary faculty |
| <input type="checkbox"/> Boys club/youth recreation | <input type="checkbox"/> Catechism teacher |
| <input type="checkbox"/> Teacher in preschool, kindergarten, or elementary school (up to grade 6) | <input type="checkbox"/> Choir |
| <input type="checkbox"/> Teacher in middle school (grades 7-8) | <input type="checkbox"/> Chaplain |
| <input type="checkbox"/> Teacher in high school (grades 9-12) | <input type="checkbox"/> Worked in a hospital |
| <input type="checkbox"/> Seminary administrator | <input type="checkbox"/> Saying Mass |
| <input type="checkbox"/> Guidance counselor | <input type="checkbox"/> Bishop, Vicar, Chancellor, Cardinal |
| <input type="checkbox"/> Other (specify) _____ | <input type="checkbox"/> Coach |

18. Did the cleric socialize with the family of the alleged victim(s)?

- Yes No Information not in the file

19. If yes, in what way? (check all that apply)

- | | |
|--|---|
| <input type="checkbox"/> In the church | <input type="checkbox"/> In church day activities (e.g., picnics) |
| <input type="checkbox"/> Spent time with the family in his residence | <input type="checkbox"/> He spent time with the family in their residence |
| <input type="checkbox"/> Vacations | <input type="checkbox"/> Other (specify): _____ |

20. Type of behavior alleged by this victim (check all that apply):

- | | |
|---|---|
| <input type="checkbox"/> Verbal (sexual talk) | <input type="checkbox"/> Photos taken of victim while victim was disrobed |
| <input type="checkbox"/> Victim disrobed | <input type="checkbox"/> Masturbation in front of victim |
| <input type="checkbox"/> Cleric disrobed | <input type="checkbox"/> Mutual masturbation |
| <input type="checkbox"/> Sexual touching over clothes of victim | <input type="checkbox"/> Manual (finger) penetration (of vagina or anus) |
| <input type="checkbox"/> Sexual touching over clothes of the cleric | <input type="checkbox"/> Penetration with foreign object (e.g., sexual aid) |
| <input type="checkbox"/> Sexual touching under clothes of victim (no penetration) | <input type="checkbox"/> Oral/genital contact where offender performed fellatio/cunnilingus |
| <input type="checkbox"/> Sexual touching under clothes of cleric (no penetration) | <input type="checkbox"/> Oral/genital contact where victim performed fellatio/cunnilingus |
| <input type="checkbox"/> Victim shown pornographic videos | <input type="checkbox"/> Penile penetration (of anus or vagina) |

Victim shown pornographic magazines/photos Other _____

21. Was the victim threatened by the cleric in any way?
 Yes No Information not in the file

22. If yes, what type of threat?
 Physical threat, with weapon Physical threat, no weapon
 Verbal threat (of harm to the victim) Verbal threat (that harm will come to the cleric)
 Threatened family of victim Spiritual manipulation
 Threatened public exposure of victim's behavior to family or others Other (specify): _____

23. Where was the abuse reported to have occurred? (check all that apply)
 In the church In the parish residence
 In the home of the victim Cleric's office
 In school In the hospital
 In a hotel room In a car
 Retreat house Vacation house
 Other (specify): _____

24. When did the abuse reportedly occur?
 During a retreat During a social event
 During a church service During reconciliation
 During travel During a sporting event (e.g., swimming)
 During counseling session During other type of travel
 Other (specify): _____

25. Did the victim receive any gifts from the cleric?
 Yes No Information not in the file

26. If yes, what type of gift(s)? _____

27. Were there any other enticements given to this victim?
 Yes No Information not in the file

28. If yes, what were the enticements? (check all that apply)
 Given money Allowed to do special church activities (e.g., solo in the choir)
 Allowed to stay up late Given alcohol or drugs
 Allowed to drive a car Taken to sporting matches or other recreational activities
 Access to pornography, videos Allowed to stay overnight with the cleric
 Other (specify) _____ Sports-related enticement (e.g., put in starting position of a team)

29. Who did the victim live with when allegedly abused (check all that apply)
 Mother only Father only
 Both parents Brother(s)
 Sister(s) Other guardian
 Grandparents Boarding school
 Foster parents Orphanage
 With the cleric Other (specify) _____
 In the rectory or church-related residence

30. Were any of victim's siblings also abused by any cleric?

- Yes No Information not in the file

31. At the time of the alleged abuse, was the victim under the influence of alcohol or drugs?

- Yes No Information not in the file

32. At the time of the alleged abuse, was the cleric under the influence of alcohol or drugs?

- Yes No Information not in the file

33. Was there a diocesan investigation? (If no, skip to Question 37)

- Yes No Information not in the file

34. If there was a diocesan investigation, what was the result? (check all that apply)

- Allegation substantiated Allegation unsubstantiated
 Cleric admitted abuse Allegation found to be false
 Other (specify) _____

35. What action was taken regarding the cleric?

- No action taken Transferred cleric to another parish w/in the diocese
 Cleric suspended w/ treatment ordered Transferred the cleric to another diocese
 Cleric resigned or retired Cleric was reinstated
 Cleric received treatment but continued in ministry Dismissed from clerical state
 Other (specify) _____

36. Was the victim or their family ever contacted regarding the results of the investigation?

- Yes No Information not in the file

37. Did the victim report the incident to the police or district attorney?

- Yes No Information not in the file

38. Was there a police investigation?

- Yes No Information not in the file

39. Was the cleric charged with a criminal offense? (If no, skip to Question 45)

- Yes No Information not in the file

40. If yes, what charge was brought? _____

41. Did the charges result in a conviction?

- Yes No Information not in the file

42. If yes, for what offense? _____

43. If there was a conviction, what was the sentence? (check all that apply)

- Fine Jail
 Probation Prison
 House arrest Electronic monitoring
 Community service Other (specify) _____

44. If there was a conviction, what was the length of the sentence imposed? _____

45. Was there any civil action taken against the cleric or the diocese for damages?

- Yes No Information not in the file

46. Was there any other form of legal action taken with respect to this cleric and this victim?

- Yes(specify) _____ No Information not in the file

NOW WE ARE GOING TO ASK ABOUT FINANCIAL INFORMATION IN REGARD TO THIS VICTIM. YOU MAY NEED ASSISTANCE FROM THE ACTING BUDGET/FINANCIAL OFFICER OR ACCOUNTANT WITHIN THE DIOCESE TO ANSWER THESE QUESTIONS.

47. Was the victim given any type of compensation to settle the allegation of abuse?

- Yes No Pending Information not in the file

48. What was the approximate total compensation or payment made to date to this victim from all sources? \$ _____

49. How much of this was covered by, or derived from, insurance? \$ _____

50. What was the approximate payment to date for treatment for this victim? \$ _____

51. How much of this was covered by or derived from insurance? \$ _____

52. What was the approximate payment to date for treatment for this cleric? \$ _____

53. How much of this was covered by or derived from insurance \$ _____

54. What was the approximate total payment to date made by the diocese to attorneys to represent the diocese related to this victim's allegations? \$ _____

**CLERIC SURVEY:
CONFIDENTIAL**

Please complete the following information. To ensure confidentiality, this information will be encrypted for data analysis and this page will be destroyed.

Cleric's first initial _____

Cleric's last initial _____

Date of Birth ____/____/____

REMINDER: DO NOT WRITE IN THE NAMES OF ANY CLERICS OR VICTIMS ANYWHERE ON THIS SURVEY. IF YOU HAVE ANY QUESTIONS AND YOU CALL THE JOHN JAY COLLEGE RESEARCH TEAM HOTLINE AT (212) 237-8539, PLEASE DO NOT SAY YOUR NAME, THE NAME OF YOUR DIOCESE, OR THE NAME OF ANY ALLEGED VICTIMS OR ABUSERS.

Please fill out this form to the best of your knowledge for every cleric against whom there are or have been allegations of sexual abuse between 1950 and 2002. Do not fill this out for clerics against whom the only allegations were known to be false. For the purpose of clarity and flow, the term "diocese" is used to refer to all diocesan, eparchial and religious orders, societies and communities.

FIRST, WE ARE GOING TO ASK YOU TO PROVIDE SOME BASIC INFORMATION ABOUT THIS PARTICULAR CLERIC.

1. Year of birth: _____

2. At the time of the alleged offense(s), was this cleric a(n): (check as many answers if necessary if the allegations against this cleric extended over a period of time)

<input type="checkbox"/> Diocesan Priest	<input type="checkbox"/> Eparchian Priest
<input type="checkbox"/> Extern Priest	<input type="checkbox"/> Religious Priest
<input type="checkbox"/> Transitional Deacon	<input type="checkbox"/> Permanent Deacon
<input type="checkbox"/> Eparch	<input type="checkbox"/> Bishop
<input type="checkbox"/> Cardinal	<input type="checkbox"/> Other (specify): _____

3. If ordained, year of ordination: _____

4. What seminary/seminaries did the cleric attend? _____

5. Was cleric married at time of alleged offense(s)?

<input type="checkbox"/> Yes	<input type="checkbox"/> No
------------------------------	-----------------------------

6. Does the cleric have a history of being a victim of abuse?

<input type="checkbox"/> Yes	<input type="checkbox"/> No known abuse (If No, skip to Question 9)
------------------------------	---

7. If yes, the type of abuse indicated in the record or known to the diocese is best described as (check all that apply)

<input type="checkbox"/> Physical abuse	<input type="checkbox"/> Emotional Abuse
<input type="checkbox"/> Sexual Abuse	<input type="checkbox"/> Verbal Abuse
<input type="checkbox"/> Physical & Sexual Abuse	<input type="checkbox"/> Neglect
<input type="checkbox"/> Other (specify): _____	

8. This abuse was allegedly committed by: (check all that apply)

<input type="checkbox"/> Mother	<input type="checkbox"/> Peer/acquaintance
<input type="checkbox"/> Father	<input type="checkbox"/> Person in a position of authority (e.g., babysitter, coach)
<input type="checkbox"/> Sibling	<input type="checkbox"/> Priest
<input type="checkbox"/> Other family member	<input type="checkbox"/> Deacon
<input type="checkbox"/> Teacher	<input type="checkbox"/> Other (please specify): _____

9. Are there indications in the record that the cleric had problems with alcohol or substance abuse?

<input type="checkbox"/> Yes, Alcohol	<input type="checkbox"/> Yes, Drugs	<input type="checkbox"/> Yes, Alcohol & Drugs	<input type="checkbox"/> No (If no, skip to question 13)
---------------------------------------	-------------------------------------	---	--

10. If you answered "Yes" to Question 9, then please indicate what action(s) was taken to address the alcohol or drug abuse problem? (check all that apply)
- Referral for Evaluation Spiritual Counseling Provided
 Referral for Treatment Intervention
 Spiritual Counseling Recommended No action taken
 Other action taken (describe) _____
11. If treatment was provided, where did it occur? (if none, skip to question 13)
- inpatient substance /alcohol abuse treatment within the diocese
 inpatient substance/alcohol abuse treatment outside diocese
 outpatient within diocese (specify type of program) _____
 outpatient outside of diocese (specify type of program) _____
12. During treatment for alcohol/drug abuse, did the cleric admit to sexual abuse(s) of a minor(s)?
- Yes No
13. Are there other specific medical or psychological problems that raised concerns about this cleric's fitness for ministry? (if no, skip to question 18)
- Yes No
14. Please describe the problem to the extent possible _____
-
15. Was the problem recognized before any allegation of abuse?
- Yes No
16. What is the approximate date the problem was recognized? _____
17. If the cleric has multiple medical or psychological problems, please specify the year each was recognized (if more than three, please continue question 16 on the back.)
- Year _____ problem _____
 Year _____ problem _____
 Year _____ problem _____
 Check here if continued on back _____
18. How many dioceses has this cleric served in? _____
19. How many times has this cleric transferred within your diocese?
- Parishes _____ Congregations _____
20. Does this cleric have allegations of sexual abuse against him at any of these other dioceses in which he served?
- Yes No No information in file
21. If yes, how many victims made allegations of abuse against this cleric in each diocese, parish and congregation in which he served?
- Dioceses _____ Parishes _____ Congregations _____
22. Is it known from the files, or by other means, that this cleric had behavioral/boundary problems other than allegations of sexual abuse of minors (e.g., letters of complaint from parishioners)?

- Yes (specify) _____
- No

NOW WE ARE GOING TO ASK ABOUT THIS CLERIC'S ALLEGED VICTIM(S) FROM YOUR DIOCESE

23. How many victims made allegations of sexual abuse against this cleric in your diocese? _____
24. Is there any indication that the cleric has abused more victims than there are official allegations made (e.g., victims who made a complaint claim that there are other victims who do not want to come forward)?
- Yes
 - No
25. If yes, please indicate how many other alleged victims there are who have not officially made a complaint against this cleric. _____
26. How many allegations of abuse of minors does the cleric have in each of the following age ranges and genders at your diocese? (give your best approximation of the age range based on information in the file)
- | | | | | | |
|-----------------------------------|-------|--------|-------|----------|-------|
| # of victims under 8 years of age | _____ | # male | _____ | # female | _____ |
| # of victims 8 - 10 years of age | _____ | # male | _____ | # female | _____ |
| # of victims 11-14 years of age | _____ | # male | _____ | # female | _____ |
| # of victims 15 - 17 years of age | _____ | # male | _____ | # female | _____ |

THE FOLLOWING QUESTIONS HAVE TO DO WITH THE INSTITUTION'S RESPONSE TO THE SEXUAL ABUSE ALLEGATIONS AGAINST THIS PARTICULAR CLERIC

27. What did the diocese do in response to the allegation(s) of sexual abuse against this cleric? (check all that apply)
- | | |
|---|--|
| <input type="checkbox"/> Cleric reprimanded, returned him to duties | <input type="checkbox"/> Cleric referred for spiritual retreat |
| <input type="checkbox"/> Cleric referred for evaluation | <input type="checkbox"/> Cleric referred for treatment |
| <input type="checkbox"/> Cleric given administrative leave | <input type="checkbox"/> Cleric given medical leave |
| <input type="checkbox"/> Cleric resigned or retired | <input type="checkbox"/> Cleric sought laicization |
| <input type="checkbox"/> Cleric reinstated | <input type="checkbox"/> Cleric removed from clerical state |
| <input type="checkbox"/> Cleric suspended from ministry | <input type="checkbox"/> Other (specify) _____ |
| <input type="checkbox"/> No action taken | |
28. If the cleric was reinstated, was it:
- Within the diocese, same parish
 - Within the diocese, different parish
 - Different diocese
 - Restricted ministry
29. What year(s) did the diocese take action against the cleric?
- | | |
|------------|--------------|
| Year _____ | Action _____ |
| Year _____ | Action _____ |
| Year _____ | Action _____ |
30. If the cleric participated in any type of treatment to address the sexual abuse allegations, what kind of treatment was it? (check all that apply) If no treatment, skip to question 35.
- Specialized sex offender treatment program
 - Specialized sex offender treatment program for all

FORM #2: CLERIC SURVEY

CLERIC # _____

- specifically for clergy
- General treatment program not specifically for sex offenders
- Psychotherapist
- Evaluation by mental health professional or expert, but no indication of treatment
- Other (specify): _____

- sex offenders, not just for clergy
- One-on-one counseling w/ psychiatrist, psychologist, or other mental health expert
- Relapse prevention treatment program
- Spiritual counseling or direction provided by the church

31. If the cleric participated in treatment, at which facility?

- | | |
|--|---|
| <input type="checkbox"/> Behavioral Medicine Institute of Atlanta, GA | <input type="checkbox"/> Servants of the Paraclete, Jemez Springs, NM |
| <input type="checkbox"/> Issac Ray Center, Chicago, IL | <input type="checkbox"/> Servants of the Paraclete, Albuquerque Villa, NM |
| <input type="checkbox"/> Johns Hopkins Medical Institutions, Baltimore, MD | <input type="checkbox"/> St. Louis Consultation Service, St. Louis, MO |
| <input type="checkbox"/> Progressive Clinical Services, Cincinnati, OH | <input type="checkbox"/> Institute of Living, Hartford, CT |
| <input type="checkbox"/> St. Luke Institute, Suitland, MD | <input type="checkbox"/> Menninger Clinic, Topeka, KS |
| <input type="checkbox"/> Servants of the Paraclete, St. Louis, MO | <input type="checkbox"/> New Life Center, Middleburg, VA |
| <input type="checkbox"/> Shalom Center, Inc., Splendora, TX | <input type="checkbox"/> Villa St. John Vianney, Downingtown, PA |
| <input type="checkbox"/> Southdown, Aurora, Ontario, CN | <input type="checkbox"/> Other (specify) _____ |

32. How many times did the cleric participate in a sex offender treatment program? _____

33. Did the cleric complete a treatment program?

- Yes No No information in file

34. If cleric received treatment, did he re-offend after he finished treatment?

- Yes No No information in file

35. Provide additional information known or from the record that would assist in understanding the behavior of this cleric.

REMEMBER – DO NOT PROVIDE ANY NAMES OR OTHER IDENTIFYING INFORMATION

GLOSSARY OF TERMS

Allegation: Any credible accusation that is not *implausible* (see definition below). This should include allegations that did not result in a criminal, civil or diocesan investigation and allegations that are unsubstantiated.

Clergy or Cleric: Any current or former, living or deceased, diocesan, clergy, religious Cardinal, Arch/Bishop/Eparch, Priest, Deacon or Seminarian. Religious orders include societies, congregations and male, clerical communities. This does not include lay people, brothers or nuns within the Church.

Diocese: For purposes of clarity and flow, when the term diocese is used in a question, it will be understood to refer to diocesan, eparchial and religious orders, societies and communities.

False allegation: an allegation that was proven to be untruthful and fabricated.

Implausible: Any allegation that could not possibly have happened under the given circumstances (e.g., an accusation is made against a priest who was deceased at the time of the alleged offense). Erroneous information does not necessarily make the allegation implausible (e.g., a priest arrived at the diocese a year after the alleged abuse, but all other facts of the case are credible and the alleged victim might have mistaken the date).

Sexual abuse: As per the Charter, sexual abuse includes contacts or interactions between a child and an adult when the child is being used as an object of sexual gratification for the adult. A child is abused whether or not this activity involves explicit force, whether or not it involves genital or physical contact, whether or not it is initiated by the child, and whether or not there is discernible harmful outcome.

**DIOCESAN PROFILE:
CONFIDENTIAL**

FORM #1: DIOCESAN PROFILE

Please answer these ten questions to the best of your knowledge and send this profile sheet immediately to the independent auditor. It should include information between 1950-2002.

1. Is this institution a(n): (Please check one)

<input type="checkbox"/> Diocese	<input type="checkbox"/> Eparchy	<input type="checkbox"/> Religious Order
----------------------------------	----------------------------------	--

 (NOTE: from this point onwards, only the term "diocese" is used in questions for purposes of clarity and flow. However, it refers to diocesan, eparchial and religious orders, societies and communities)

2. List the approximate number of active and retired clerics in the diocese from 1950 (or since the establishment of the diocese) to 2002:

a. diocesan priests in diocese:	incardinated _____	extern _____
b. religious order priests in diocese:	incardinated _____	extern _____
c. deacons in diocese	_____	

3. What is the approximate number of Catholics in diocese at this time?

<input type="checkbox"/> 5,000 - 45,000	<input type="checkbox"/> 88,501 - 122,000	<input type="checkbox"/> 239,001 - 350,700
<input type="checkbox"/> 45,001 - 66,000	<input type="checkbox"/> 122,001 - 170,000	<input type="checkbox"/> 350,701 - 475,000
<input type="checkbox"/> 66,001 - 88,500	<input type="checkbox"/> 170,001 - 239,000	<input type="checkbox"/> 475,001 - 788,700
		<input type="checkbox"/> 788,701 - 4,500,000

4. What is the approximate number of parishes operated by your diocese at the present time?

<input type="checkbox"/> 8-35	<input type="checkbox"/> 57-71	<input type="checkbox"/> 98-119
<input type="checkbox"/> 36-46	<input type="checkbox"/> 72-84	<input type="checkbox"/> 120-138
<input type="checkbox"/> 47-56	<input type="checkbox"/> 85-97	<input type="checkbox"/> 139-185

5. Region of diocese: (please check one)

<input type="checkbox"/> I	<input type="checkbox"/> IV	<input type="checkbox"/> VII	<input type="checkbox"/> X	<input type="checkbox"/> XIII
<input type="checkbox"/> II	<input type="checkbox"/> V	<input type="checkbox"/> VIII	<input type="checkbox"/> XI	<input type="checkbox"/> XIV
<input type="checkbox"/> III	<input type="checkbox"/> VI	<input type="checkbox"/> IX	<input type="checkbox"/> XII	

6. Based on your review of the records, indicate how many clerics have had allegations made against them while in your diocese.

Number of incardinated _____	number of extern _____
------------------------------	------------------------

7. How many clerics with allegations have been completely exonerated? _____

8. Based on your reviews of the records, please indicate the total number of victims who have made allegations against clerics in your diocese. _____

9. Based on your reviews of the records, please indicate the total amount of money paid out by your diocese to alleged victims of sexual abuse between 1950 and 2002. _____

10. For how many of the victims in Question #8 have:

_____ all of their allegations been shown to be known false
(# of victims)
_____ all of their allegations been withdrawn
(# of victims)

(NOTE: do not include these victims in the remainder of the survey)